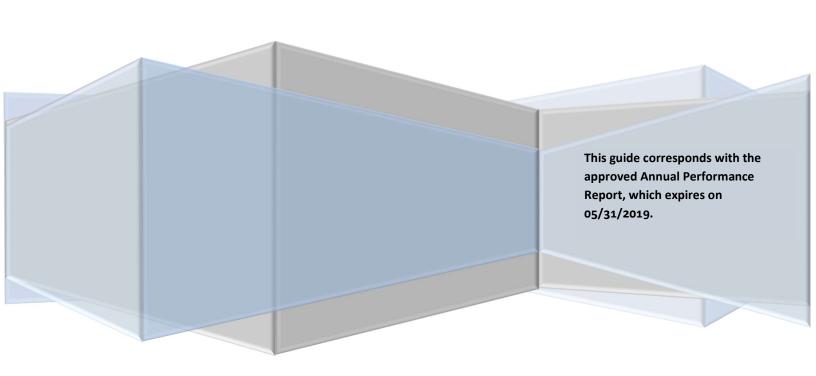
## Gaining Early Awareness and Readiness for Undergraduate programs

# **Annual Performance Report**

## User's Guide

**U.S. Department of Education** 



## Gaining Early Awareness and Readiness for Undergraduate Programs

## **Annual Performance Report Web Application**

Under Section 75.590 of the Education Department General Administrative Regulations (EDGAR), State and Partnership projects funded under the Gaining Early Awareness and Readiness Programs (GEAR UP), U.S. Department of Education (ED), must submit an Annual Performance Report (APR). APR data is reviewed to determine whether GEAR UP grantees are making substantial progress in meeting project goals and objectives. Continued grant funding in out-years is based on substantial progress.

The APR consists of a profile sheet and six sections.

**PROFILE SHEET** requires grantees to provide personally identifying information, such as PR Award Number, Name of Grantee, Address, etc.

**SECTION I** - Executive Summary requires grantees to provide a brief description of the project's overall accomplishments - highlighting major outcomes, successes, and challenges.

**SECTION II** - Narrative requires grantees to provide data on significant barriers or challenges; successes evaluation successes and challenges; sustainability of goals; school systemic changes; and an outline of progress towards meeting objectives.

**SECTION III** - Budget and Administration requires grantees to provide data on federal and non-federal expenditures, changes to project design, project personnel, record keeping, FAFSA completion, partners, indirect cost, and scholarships.

**SECTION IV** - Demographics requires grantees to provide data on students, schools, and housing projects.

**SECTION V** - Activities requires grantees to provide specific data on activities and services that were implemented.

## **Reporting Period**

Grantee	Years	Reporting Period
First-Year Grantee	Year 1	Award Start Date to March
		31st
Continuing Grantee	Years 2-6 or 7	Previous Academic School
		Year

## **Current Budget Period**

Grantee	Years	Budget Period
First-Year Grantee	Year 1	*Start Date to End Date
Continuing Grantee	Years 2-6 or 7	*Start Date to End Date

<sup>\*</sup>You can find the actual start and end date for your current budget period in Section 6 of the current Grant Award Notification (GAN). Project directors have access to the GAN via the G5 system.

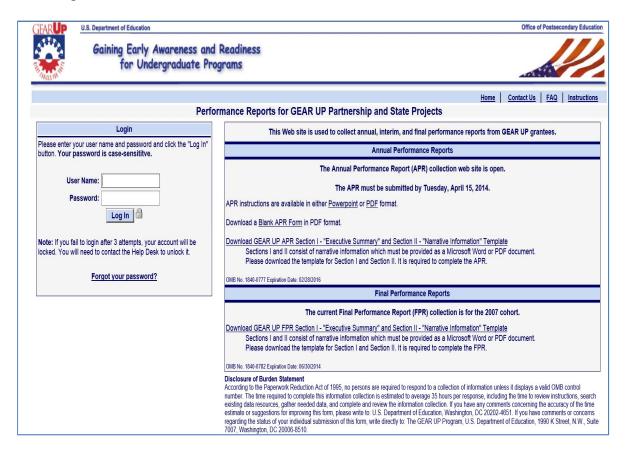
The APR is generally due each year on April 15<sup>th</sup>. All GEAR UP grantees are required to use a Web application to submit the APR. Each year an instruction letter is e-mailed to project directors to confirm the due date, provide a user name and temporary password, etc.

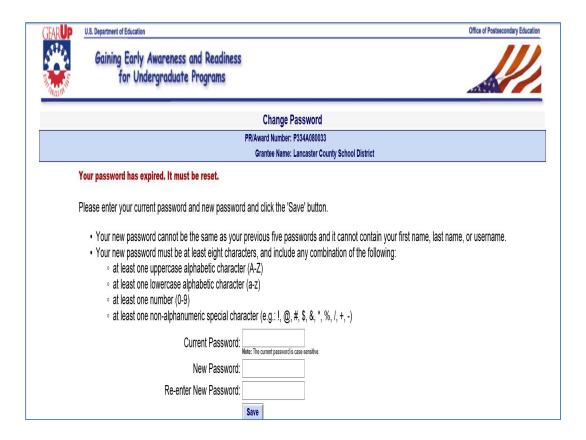
## HOW DO I SUBMIT AN APR ELECTRONICALLY?

<u>Before You Begin</u> – You should have the following items ready before you log in to the GEAR UP APR reporting system:

- 1) Instruction letter from GEAR UP;
- 2) Your User ID and Password;
- 3) All of the data you must submit the report;
- 4) Signed and dated Profile Sheet (PDF format); and
- 5) A file prepared for Section I (Executive Summary) and Section II (Narrative).

<u>Logging in</u> – You must log in to access your GEAR UP report. Your User ID is your 11 digit PR Award Number. A default password will be sent to you by e-mail. Enter this password when you log in for the first time. Only someone with a valid User ID and password can view and update the report. A "Forgot Password" link is also provided. Click the link to have your login information e-mailed. The e-mail will be sent to the existing e-mail address for the contacts in the APR reporting system. You will be required to change your password after completing the initial login.





System Navigation - A menu bar displays at the top of the window of each page to allow you to go to each section of the report, including the submission page. You must click either the SAVE or the SAVE AND CONTINUE button at the bottom of each screen to save your information. If you click SAVE, the page will redisplay after saving. If you click SAVE AND CONTINUE, the next page will display. Clicking on this button will trigger the edit checks for the current page before saving the information in the database. To complete a section, the page must be saved.

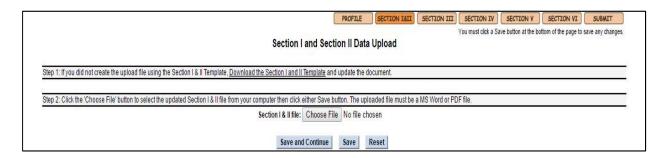
<u>Complete Page</u> - The last page of each section is the "complete" page. On this page, you will find a Data Entry Status box that will indicate the "saved" status of each page in the section. You will not be able to complete any section until you have saved each page in that section. If all pages of the section are complete, click the "Complete Section" button. You will not be able to submit your report until all sections are complete

<u>Grantee Profile Sheet</u> – This sheet provides identifying information about the grant recipient and must be signed and dated by the project director and certifying official. Please review and update the information, as necessary, since the information will be prepopulated.



## Section I (Executive Summary) and Section II (Narrative Information)

<u>Upload Sections I and II</u> – Data for Sections I and II must be entered into a Microsoft Word template and then uploaded into the system as a PDF or Word file. Templates for these sections are attached. Section I requires a brief description (no more than 1350 words, or approximately 3 pages) of the current status of your project. Section II is a narrative addressing grant progress in accomplishing goals, evaluation, sustainability and systemic change. You should prepare these sections using the following procedure: (1) download the template for Section I and II from the GEAR UP Report website (either from the Login page or Section I and II web page); (2) fill out the information and save it to a file; finally, upload the file to the server using the GEAR UP Report application. Files will only be accepted in Microsoft Word or PDF format. A popup message will appear if you have successfully uploaded Sections I and II.



<u>Sections III, IV, V, and VI</u> – For these sections, you must enter data directly into the system. Some of the data is pre-populated from previous APRs and the approved application.

## **Section III - Grant Administration and Budget Information**

## **Budget Information**

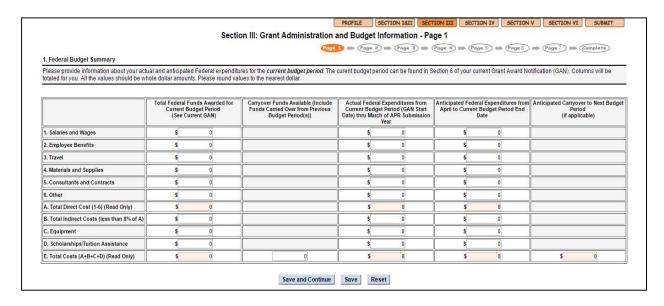
All grantees must report on actual and anticipated federal and matching expenditures for the current budget period in the tables below. Start and end dates for the current budget period are in Section 6 of the current Grant Award Notification. The system will automatically calculate totals for each column. The breakdown of federal funds awarded for the current budget period and the total carryover funds from previous year will prepopulate. Total funds for anticipated carryover to next budget period will automatically calculate based on actual and anticipated funds reported for current budget period.

Grantees must follow the guidelines below when entering data for in the tables.

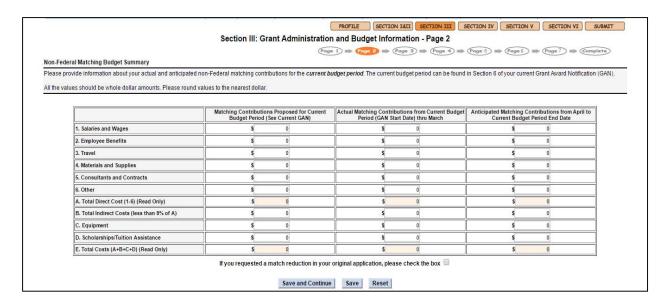
- ➤ Whole dollar values only (e.g., 100);
- > Negative values are not accepted; and
- ➤ The "TOTAL" amounts in each row will be automatically calculated by the system.

Important: If you have a full year's federal appropriation on hand during your APR review, your Non-Competing Continuation (NCC) award may be reduced.

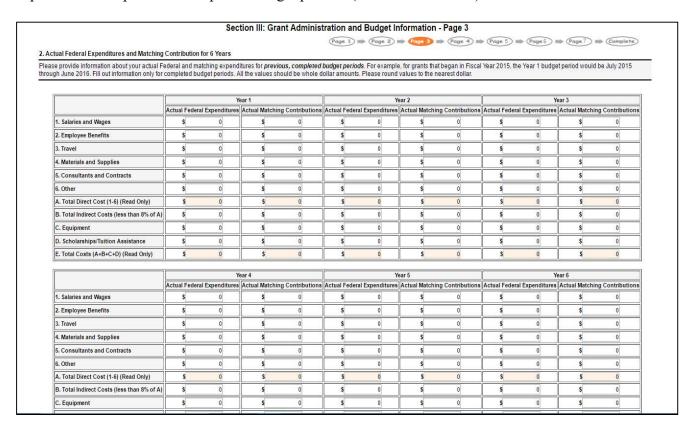
1a. In the table below, please provide information about your actual and anticipated Federal expenditures for the **current budget period**.



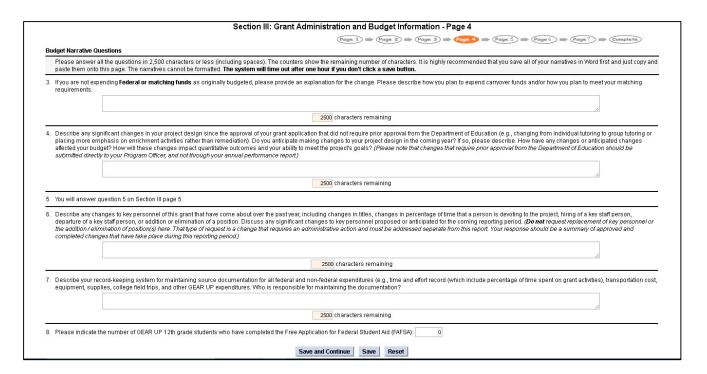
1b. In the table below, please provide information about your actual and anticipated non-Federal expenditures for the **current budget period**.



2. <u>Actual Expenditures</u> - Continuing grantees must report on actual federal and matching expenditures for previous completed budget periods (Years 1 thru 5 or 6) in the table below.



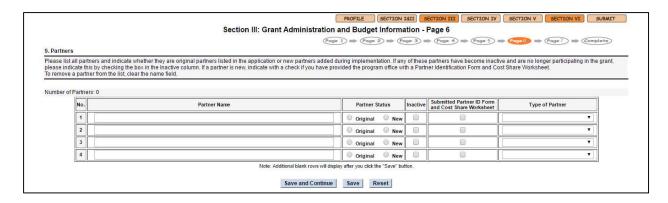
<u>Grant Administration</u> – In the tables below, you will provide information on Questions 3-11 regarding project design, personnel, partners, record keeping, scholarships and indirect costs.



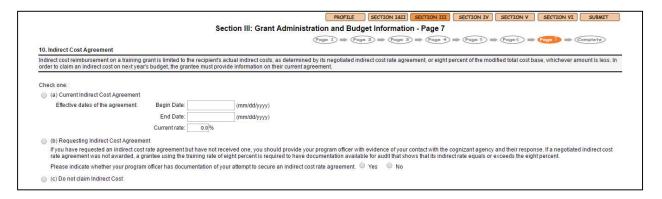
<u>Key Personnel</u>. You must report on approved key personnel that are paid with federal and matching funds. Grantees must receive prior approval by ED program specialist for key personnel changes.



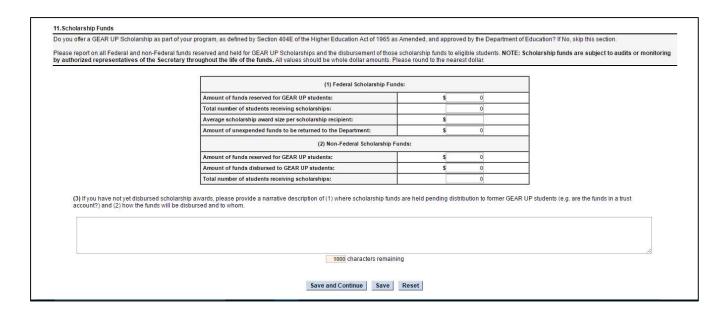
<u>Grant Partners</u>. You must provide information on project partners in the table below. Please do not provide new partners that have not been approved by the ED program specialist.



Indirect Cost Agreement - You must provide information related to indirect costs in the table below. Projects must have a negotiated indirect cost rate agreement in place in order to charge indirect costs to the grant. Notify your program specialist if you do not have one but are pursuing a negotiated indirect cost rate agreement while implementing the grant. For instance, your negotiated rate may have expired or you decide to acquire a negotiated rate at some point during implementation of the project.

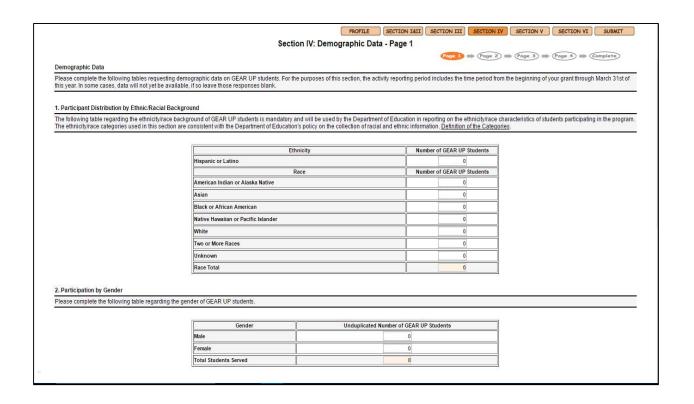


<u>Scholarship Funds</u> - If your project has a scholarship component, you must report on scholarship funds (federal or matching). Unused funds must be returned to the Department 6 years after they are placed in reserve. These funds are subject to an audit or monitoring as long as they are available – even after the grant has ended.



## Section IV - Demographic Data

In the tables below, you will provide demographic data on ethnicity and/or race, gender, Limited English proficiency, individualized education programs, homeless and foster care students, housing projects, and participating schools.



#### 3. Participants with Limited English Proficiency

Completion of this table is not mandatory but is extremely helpful to the Department of Education in reporting on the characteristics of students served by the GEAR UP program. If you choose to do so, please complete the following table indicating the unduplicated number of GEAR UP students with Limited English Proficiency served by your project during the reporting period.

For the purposes of this table, Limited English Proficiency means a person whose native language is other than English and who has sufficient difficulty speaking, reading, writing, or understanding the English language to deny that individual the opportunity to learn successfully in classrooms in which English is the language of instruction.

	Unduplicated Number of GEAR UP Students
GEAR UP students with Limited English Proficiency	0

#### 4. Participants with Individualized Education Programs (IEPs) as required by the Individuals with Disabilities in Education Act Amendment of 1997 (IDEA)

Completion of this table is not mandatory but is extremely helpful to the Department of Education in reporting on the characteristics of students served by the GEAR UP program. If you choose to do so, please complete the following table indicating the unduplicated number of GEAR UP students with Individualized Education Programs.

	Unduplicated Number of GEAR UP Students
GEAR UP students with Individualized Education Programs	0

#### 5. Participants Who Meet Unique Priority Student Designations

Completion of this table is not mandatory but is extremely helpful to the Department of Education in reporting on the characteristics of students served by the GEAR UP program. If you choose to do so, please complete the following table indicating the unduplicated number of GEAR UP students who have been identified as homeless (as defined by the McKinney-Vento Homeless Assistance Act) or were in foster care (as defined by Title IV of the Social Security Act).

	Unduplicated Number of GEAR UP Students			
GEAR UP students who were identified as homeless	0			
GEAR UP students who were in foster care	0			

Save and Continue Save Reset

PROFILE SECTION IAIT SECTION III SECTION IV SECTION V SECTION VI SUBMIT

Section IV: Demographic Data - Page 2

Page 1 ⇒ Page 2 ⇒ Page 3 ⇒ Page 4 ⇒ Complete

#### Participating Schools and Housing Projects:

Please complete the appropriate table below indicating the schools or housing projects participating in your grant.

#### 6. Participating Housing Projects:

Complete this table only if your project uses a public housing model. If your project is serving a public housing authority, please provide the name(s) of the public housing project(s). Indicate grade levels separated by commas.

Name of Public Housing Project	Grade Levels Served by GEAR UP	City	State	Zip

#### 7. Participating Schools:

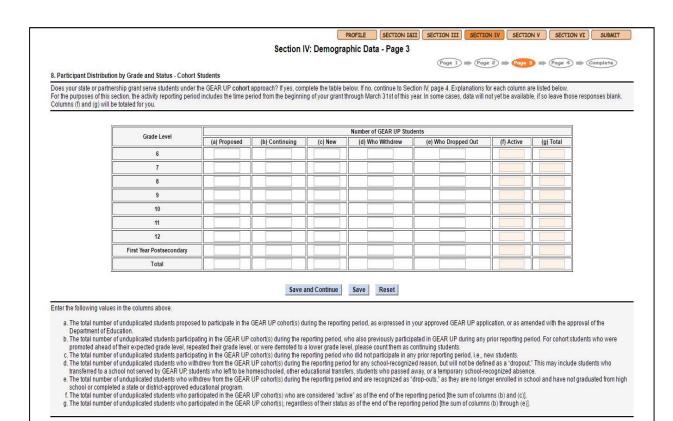
Please list all of the schools participating in your GEAR UP project. A participating school is a partner school identified in your GEAR UP application or is a school in which GEAR UP services are provided. Please include all schools you identified in your application, including their NCES school ID codes, even if they do not yet have students participating in GEAR UP (e.g., if the GEAR UP cohort consists of 7th graders, please list the GEAR UP high school(s) that the students will attend). In appropriate boxes, indicate all relevant grade levels separated by commas (e.g., 6, 7, 8).

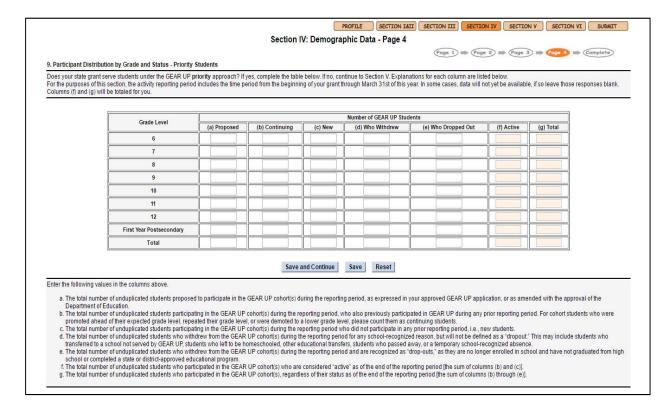
#### Number of Participating Schools: 0

Name of School	Active During Reporting Period	Grade Levels Offered	Grade Levels Served by GEAR UP	Percentage of Students Eligible for Free and Reduced Price Lunch	City	State	Zip	National Center for Education Statistics (NCES) Code
				%				
				%				
				%		<b> </b>		
				%		•		
				%		•		

Note: Additional blank rows will display after you click the "Save" button.

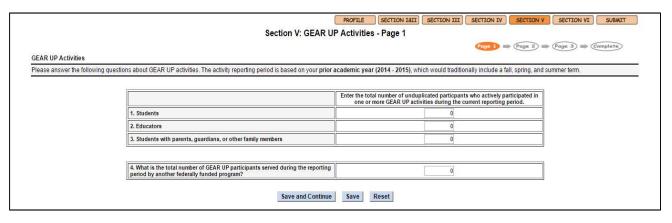
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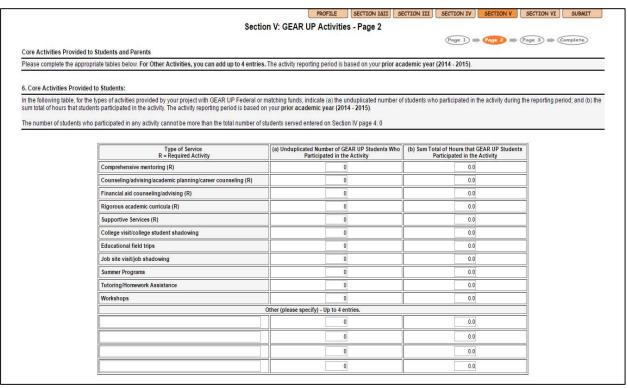


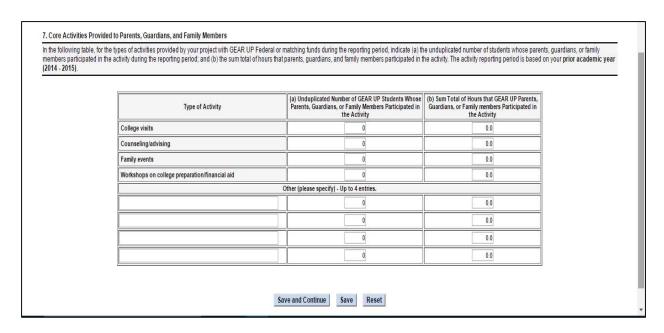


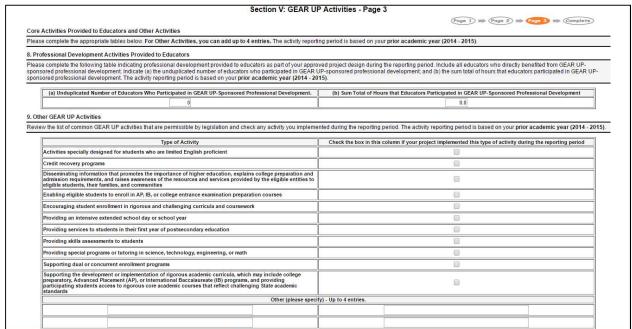
## Section V – Data Regarding GEAR UP Activities

In the tables below, you will provide active participation, core activities provided to students, parents, guardians and family members.









## **Section VI – Student Outcomes**

In the tables below, you will provide course enrollment and completion, educational progress by students, baseline high school graduation and college enrollment data.

#### Section VI: GEAR UP Student Outcomes - Page 1

Page 1) | Page 2 | Page 3 | Page 4 | Complete

#### 1. Course Enrollment:

Please complete the following table indicating the number of GEAR UP students who have successfully enrolled in the courses identified. The activity reporting period is based on your prior academic year (2014 - 2015), which would traditionally include a fall, spring, and summer term. The names for math classes can vary among schools. Classify courses based on the content of the course, "Advanced Placement" classes are courses designed to prepare students for the Advanced Placement exams. Enter the numbers in the grade level the control was in when the student enroll in the course.

The number of students you enter on this page should not exceed the number you entered for the grade on Section IV page 4. This number is displayed in the first column.

Grade Student was in when Course was Enrolled	Number of Students Reported on Section IV Page 4	Pre-Algebra	Algebra I or Equivalent	Geometry	Algebra II	Pre-Calculus	Calculus	Trigonometry	At Least Two Years of Math Beyond Algebra
6		E	0	0	0	0	0	0	0
7		0	0	0	0	0	0	0	0
8		0	0	0	0	0	0	0	0
9		0	0	0	0	0	0	0	0
10		0	0	0	0	0	0	0	0
11		0	0	0	0	0	0	0	0
12		0	0	0	0	0	0	0	0
First Year Postsecondary		0	0	0	0	0	0	0	0

Grade Student was in when Course was Enrolled	At Least One Advanced Placement Class	At Least One International Baccalaureate Class	Biology	Chemistry	Physics	English & Language Arts	Number of Student who enrolled in non-credit bearing remedial courses
6	0	0	0	0	0	0	
7	0	0	0	0	0	0	
8	0	0	0	0	0	0	
9	0	0	0	0	0	0	
10	0	0	0	0	0	0	
11	0	0	0	0	0	0	
12	0	0	0	0	0	0	
First Year Postsecondary	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0

#### Section VI: GEAR UP Student Outcomes - Page 2

(Page 1) III (Page 2) III (Page 3) III (Page 4) III (Complete)

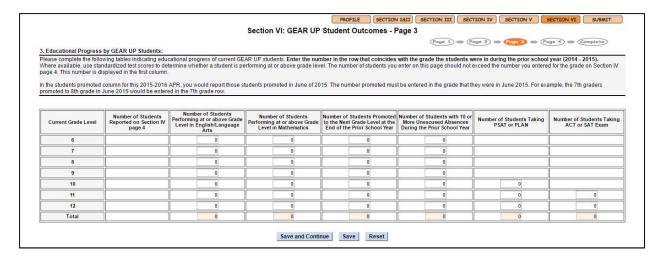
2. Course Completion:

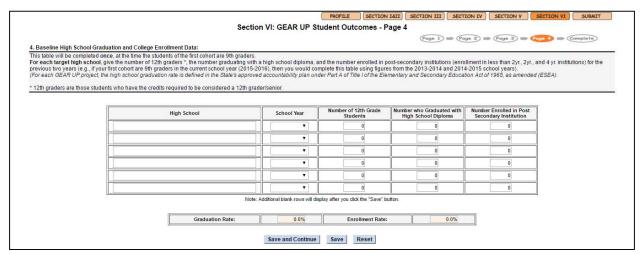
Please complete the following table indicating the number of GEAR UP students who successfully completed the courses identified during the prior academic year (2014-2015). The names for math classes can vary among schools. Classify courses based on the content of the course. "Advanced Placement" classes are courses designed to prepare students for the Advanced Placement Exams.

The number of students you enter on this page should not exceed the number you entered for the grade on Section IV page 4. This number is displayed in the first column.

Grade Student was in when Course was Completed	Number of Students Reported on Section IV Page 4	Pre-Algebra	Algebra I or Equivalent	Geometry	Algebra II	Pre-Calculus	Calculus	Trigonometry	At Least Two Years of Math Beyond Algebra 1
6	0	E	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0	0
8	0	0	0	0	0	0	0	0	0
9	0	0	0	0	0	0	0	0	0
10	2,335	0	0	0	0	0	0	0	0
11	2,025	0	0	0	0	0	0	0	0
12	0	0	0	0	0	0	0	0	0
First Year Postsecondary	0	0	0	0	0	0	0	0	0
Total		0	0	0	0	0	0	0	0

Grade Student was in when Course was Completed	At Least One Advanced Placement Class At Least One International Baccalaureate Class		Biology	Chemistry	Physics	English & Language Arts	Number of Student who enrolled in non-credit bearing remedial courses
6	0	0	0	0	0	0	
7	0	0	0	0	0	0	
8	0	0	0	0	0	0	
9	0	0	0	0	0	0	
10	0	0	0	0	0	0	
11	0	0	0	0	0	0	
12	0	0	0	0	0	0	
First Year Postsecondary	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0





<u>View Report</u> – You can view a copy of your report in PDF format on the Submit page. Click the 'View/Print Report' link to view the report and save a copy of it for your records.

<u>Submit Report</u> – The final step for the Performance Report Process is to submit the entire report to the Department of Education. When you click this button, the screen will display the status of each section as "complete" or "not completed." If all of the sections are checked as "complete" and the signed certification form has been uploaded, click the "Submit Report" button to submit.

	PROFILE SECTION IAII SECTION III SECTION V SECTION V SECTION V SECTION V SECTION V
	You must click a Save button at the bottom of the page to save any changes.  Submit Performance Report
This is the final step to comple After you submit the report, it is	te your Annual Performance Report. Once you have completed all six sections and uploaded your signed certification page, the "Submit Report" button will display. Click the button to submit your report so longer updateable. If you need to edit the submitted information, please contact your program officer and request that your report be unsubmitted.
	Report Section Status
	Click the link to return to the Complete page for each section below marked "Not Complete" to view the pages which need to be completed before you can submit your report. You must click the "Complete" button for each section before you can submit your report.  Grantee Profile: Grantee Profile is Grantee Profile in Vision Vision Vision in Vision in Vision in Vision in Vision Vision Vision in Vision V
	Certification Form
	Your signed certification form must be uploaded to your report before you submit.  1. Click the <u>Certification Form</u> link to display the certification form (cover sheet) in PDF format.  2. Print the form and have your project director and certifying official sign it.  3. Scan the signed form and save it to your computer.  4. Click the "Choose File" button and select the signed form from your computer.  5. Click the "Upload" button to save the signed form to your report.  Signed Certification Form File: Choose File No file chosen  Upload Signed Certification Form

<u>Confirmation e-mail</u> – You will receive a confirmation e-mail. You will not be able to update the report information. You can save or print your report for your records.

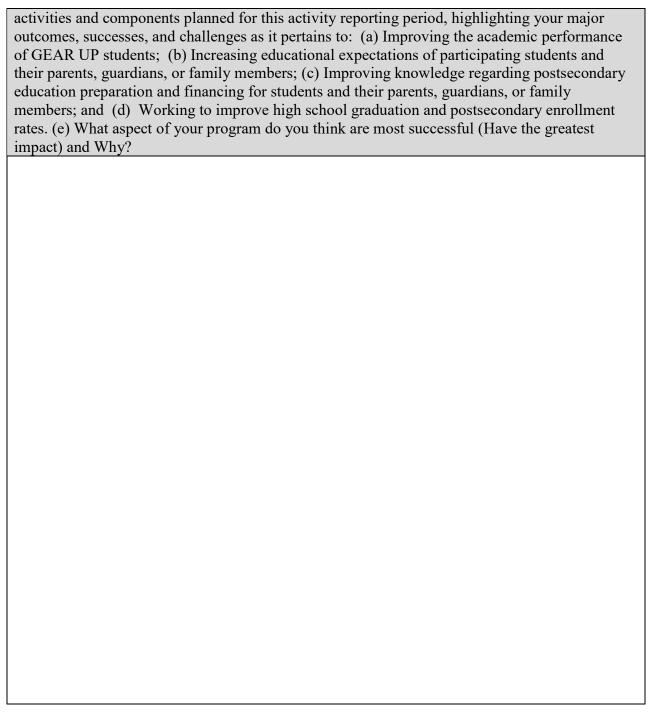
<u>System Timeout</u> - The system will timeout after one hour of inactivity. The system does not consider entry into a data field as activity. Therefore, it is highly recommended that you save your narratives in Word and copy and paste them into the system. Please make sure you click save frequently.

Attachment A

## Section I - Template

## **SECTION I: EXECUTIVE SUMMARY**

1. Please provide a brief description (no more than 1350 words, or approximately 3 pages) of the current status of your project. Describe the extent to which you have implemented all program



Attachment B

## Section II – Templates A

## **SECTION II: NARRATIVE INFORMATION**

1. What are the most significant barriers or challenges your GEAR UP project has encountered in the implementation and/or administration of your grant? Please describe

what steps you have taken to address these barriers and challenges.					
2. Describe your project's success and challenges in advancing your approved project					
evaluation plan for program improvement during the activity reporting period. Topics to be addressed may include your processes for collecting, linking, and reporting GEAR UP participation and outcome data for students, family members, and educators; the availability of data; data sharing agreements; implementing evaluation methods; and how					
your evaluation efforts are informing project implementation and administration decisions.					
3. Describe the extent to which key activities and outcomes from your GEAR UP project are likely to be sustained over time and how your sustainability goals will be achieved. What systemic changes have occurred in your school(s) that the Department of Education should be aware of that may not otherwise be captured in your annual report?					
4. Please provide any additional information about your project that you think would be					
helpful to the Department of Education in evaluating your performance or understanding the contents of your annual report.					

Attachment C

## Section II – Templates B

5. Describe the progress that your project has made towards accomplishing the objectives of your project for this reporting period as outlined in your grant application or work plan.

Please list your objectives in the table below, and indicate what activities have taken place, the quantitative results of those activities, and actions required (what, if any, changes do you intend to make in response to the results that you have seen).

Objectives:	Activities:	Results:	Results:	Actions required:
List the approved objectives from your grant application or work plan. Where applicable, provide baseline data.	List the activities that have been conducted to meet the objective.	Has the objective been met?	Please describe, what progress have you made in reaching the objective?	Are you planning to make changes to the grant in response to the results?
Example: Enrollment in 7th grade prealgebra, 8th grade algebra, and 8th grade advanced science classes will increase by 5% by next year.  Baseline -Pre-algebra: 7th grade 10% -Algebra: 8th grade 5% -Advanced Science: 8th grade 20%	Example: Instructional support services, staff development to improve instruction, and articulation with elementary schools.	(Yes/No/Partially)	Example: Enrollment changes from 2008/09 to 2009/10: 1) 7th grade pre-algebra: +65% 2) 8th grade algebra: +5% 3) 8th grade advanced science: -6% (due to increased standards for enrollment).	Example: Continue to identify students needing intervention services based on achievement scores. Add science component to after school tutoring program.
1.				
2.				
3.				
4.				
5.				